

IT Audit Director Roundtable

Professional Strategies – S22

Kendall Tieck
Senior Director, Head of IT Audit
eBay, Inc.



Dan DerGarabedian
SVP, IT Audit Director
Bank of the West



Scott Lowry
Senior Manager – IT Audit
VMware



Marta O'Shea
Senior Business Leader, Head of Internal Audit
Visa, Inc.



Moderator: Todd Weinman
President and Chief Recruiting Officer
The Weinman Group



Session Abstract

This will be an interactive panel discussion featuring some of Northern California's top IT Audit Directors.

Topics will likely cover a myriad of challenges faced by today's IT Audit Directors, such as: covering increasingly complex environments with limited resources; building and maintaining successful relationships with IT and Business Leadership; training and staff development; how to deliver maximum value to the organization; and various best practices.

Target Audience

Anyone who is working in or related to the field of IT Audit.

COBIT Objectives

N/A

Speaker Bios

Panelists:

Kendall Tieck

Prior to joining eBay, Inc. as head of IT Audit, Kendall was an Audit Director at Microsoft in Redmond with audit responsibility for the core lines of business which included the technology infrastructure. He is also a veteran in the financial services industry having spent tours of duty at both Bank of America and Wells Fargo Bank. In addition to Kendall's experience in Internal Audit functions, he has held roles in technology risk management and actively involved in ERM.

Dan DerGarabedian

Dan is currently the IT Audit Director at Bank of the West, a member of BNP Paribas Group, in the San Francisco Bay area. Dan has a strong business and information technology background supported with 15-plus years of experience in information technology auditing, information security management, and accounting in the financial services, utility and retail industries. Prior to joining Bank of the West, Dan worked for State Street as a Sr. IT Audit Manager for four years and was with Fidelity Investments for 12 years as the Information Security Officer for Fidelity Management and Research Co. and as a Sr. IT Audit Manager in the Corporate Audit group. He is a Certified Information Systems Auditor and is Certified in Risk and Information Systems Control. Dan received his Bachelor of Science in Management Advisory Services from New Hampshire College.

Scott Lowry

A graduate of the United States Naval Academy class of 1988, Scott earned his Naval Aviation Wings in 1990. As a carrier-based aviator, he gained extensive project management and leadership experience before leaving the Navy in 1995 to earn an MBA/MS from the Smith School of Business at the University of Maryland.

Scott joined PricewaterhouseCoopers (PwC) in 1999 and gained experience conducting eBusiness, security, privacy, and Enterprise Resource Planning (ERP) application controls reviews for numerous clients. In 2003, Scott joined the PwC Oracle ERP Team, and was promoted to Manager in 2005. Scott managed numerous application control reviews over the AR, AP, Inventory and Manufacturing

Modules for various major corporations. At the time of his departure for Safeway, Scott was responsible for approximately eight staff and \$3 million in annual revenue.

In April, 2005, Scott joined the Safeway Internal Audit Department as Manager of IT Audit, reporting to the Director. Scott was the first IT Audit Manager and played an instrumental role in hiring all IT Audit staff and developing this new department. During his tenure at Safeway, the IT Audit Department grew to include 11 individuals reporting to the Director.

In November, 2010, Scott joined VMware as Senior Manager of IT Audit, reporting to the CAE. Scott assumed responsibility for all IT Audit activities, including risk management and SOX compliance testing. Scott has completed risk management engagements around System Development Lifecycle (SDLC), Payroll, Export Compliance, Oracle ERP and SOX Compliance.

Scott is a Certified Internal Auditor, Microsoft Certified Systems Engineer and a Certified Information Systems Auditor. He holds an MBA in Finance and an MS in Information Technology from the University of Maryland.

Marta O'Shea

Marta O'Shea is the Senior Business Leader of Visa Inc. Internal Audit, whose primary responsibility is overseeing and directing the deployment and execution of Information Technology (IT) and Operational audits, including reviews of areas within Visa's Global Systems, Product and Client Support Services organizations. In addition, she has overseen audits within the Global Risk, Americas, Asia Pacific & Central Europe and Middle East (CEMEA), Global Finance and Global Corporate Relations organizations, including leading reviews within select Visa countries. She provides thought leadership and direction in audit risk assessment, planning, execution and reporting to a team of 20 experienced internal audit professionals which are augmented as required by specialized resources from external Professional Services firms. Additionally, Marta is responsible for maintaining relationships with and influencing senior client management; ensuring department goals are accomplished; making hiring decisions; and managing employee professional development and training needs.

Before joining Visa Inc. in March 2008, Marta spent seven years in the Internal Audit Department of Charles Schwab & Co. While at Schwab, she held several positions including Director, Internal Audit – IT and was responsible for managing multiple IT audit engagements and leading her team to complete annual IT audit plans. She also managed client relationships to stay current on business operations and to discuss audit activities and solutions for control deficiencies. Marta developed and implemented an IT audit risk model and led the Sarbanes-Oxley management validation program for IT controls.

Marta's additional professional experience includes technical management positions at Computer Science Corporation in Sydney, Australia and at MCI WorldCom in London, UK.

She holds a Bachelor of Commerce degree from the University of Queensland in Brisbane, Australia, and has held a Certified Information Systems Auditor (CISA) certification since 2001.

Moderator:

Todd Weinman

Todd Weinman is the President and Founder of The Weinman Group, an executive search firm serving the Audit and GRC niche.

Now in his 18th year focusing on this niche, Todd has become a trusted advisor to the top companies in their search for outstanding talent, and to Audit and GRC professionals looking to accelerate their careers.

Todd is a previous winner of the California Association of Personnel Consultants (now CSP) “Consultant of the Year” award as the top recruiter in the State of California. He holds a Bachelor’s Degree from U.C. Berkeley, and worked for several years for a Big 4 Public Accounting Firm. Todd is a frequent presenter for ISACA and IIA, including the ISACA CACS and World Congress, and the IIA General Audit Management conferences. Over the past decade, he has been actively involved in ISACA leadership roles both at the International and Chapter level. Todd has written articles and/or been interviewed for a variety of publications, including the Wall Street Journal and Computerworld.