

Strategies for Building a Compliance Monitoring Program for C-Suite, Compliance Officers and Other Professionals

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Core Competencies – C24

Session Abstract

In today's regulatory environment, penalties, customer experience, reputational damage and ROI certainly influence C-suite decision making. The development of risk-based oversight programming can set the tone and drive targeted value. In this session, we will focus on strategies for compliance-specific monitoring and testing. We will discuss the importance of continuous monitoring including the build out of standards, risk identification and scoring, year-over-year planning, data analytics, expertise-building, partnerships and other synergies. This session should prepare you for identifying strategic areas for improvement, enhancement and shift within your organization in addition to breaking through silos.

Target Audience

This session is intended for all audiences including, but not limited to:

- CCOs and other executives who are evaluating their risk and compliance needs
- Compliance Officers, Analysts and Managers at the business unit level and enterprise-wide
- Security Engineers and business professionals asked to take on risk and compliance responsibilities
- Procurement and vendor management professionals
- Audit professionals looking to create synergies

Speaker Bio

Danielle is a Senior Manager in the Enterprise Risk and Financial Services practices of Accretive Solutions. She has 15 years' finance, operations and compliance experience in industry and consulting ranging from business combination, business transformation, PMO implementation, GRC (governance, risk and compliance) consulting and assurance services, data integrity and strategic/metric-driven performance improvement projects. She has an eye for vision, streamlined execution, client experience and ROI to meet C-suite top- and bottom-line goals.

Danielle began her career in industry, spent close to a decade with PwC Advisory and built a 5-star rated company. She has run multimillion-dollar initiatives, and staffed and managed small

to complex domestic and international projects. Her representative clientele range from nimble startups to largely mature Fortune 50 companies.

Danielle's technical expertise ranges the following for banks, investment managers, payment networks, lenders, and startups:

- Business combination strategies, performance and process improvement, turnarounds
- F/S compliance strategies, development and monitoring
- Enterprise risk management, fraud risk management
- Counterparty monitoring and risk management
- Data integrity strategies and lookbacks
- SOX 404 IPO readiness and ongoing compliance, including the largest IPO in U.S. history
- U.S. GAAP accounting and SEC financial reporting
- Business development strategies for startups and other new ventures

Speaker Details (optional):

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